# The THU-SPMI CHiME-4 system: Lightweight design with advanced multi-channel processing, feature enhancement, and language modeling

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#### Our submission and contributions

For time constraint, we only submit results for 6-channel track.

- 1. For multi-channel processing, we explore a bundle of beamforming techniques and compare them with the same back-end.
  - Most effective: MVDR beamformer with steering vector being estimated by time-frequency masks.
- 2. Propose to applying histogram equalization (HEQ) to compensate for the residual noise in beamformed speech.
- 3. Interpolated Trans-dimensional Random Field (TRF) language model with LSTM-RNN language model.

### Beamforming

The signal model is  $\mathbf{X}(t,\omega) = S(t,\omega)\mathbf{d}(\omega) + \mathbf{N}(t,\omega) = \mathbf{G}(t,\omega) + \mathbf{N}(t,\omega)$ 

Beamfomer	Description
GSC	$\min_{\mathbf{R}} \left\  \mathbf{b} - \mathbf{R}^{\mathbf{H}} \mathbf{z} \right\ ^2$
MVDR	$\min_{\mathbf{W}} \mathbf{E} \ \mathbf{W}^{\mathbf{H}} \mathbf{X}\ ^{2} \text{ s. t. } \mathbf{W}^{\mathbf{H}} \mathbf{d} = 1$
MVDR-RTF	$\min_{\mathbf{W}} \mathbf{E} \ \mathbf{W}^{\mathbf{H}} \mathbf{X}\ ^2 \text{ s. t. } \mathbf{W}^{\mathbf{H}} \mathbf{d} = d_1$
PMWF	$\min_{\mathbf{W}} \mathbf{E} \left( \left\  \mathbf{W}^{\mathbf{H}} \mathbf{X} - G_1 \right\ ^2 + \beta \left\  \mathbf{W}^{\mathbf{H}} \mathbf{N} \right\ ^2 \right)$
WDAS	$y(t) = \sum_{i} \omega_i x_i (t - \tau_i)$

**b** is the output of GSC fixed beamformer.

**R** is the adaptive filter of GSC. **z** is the output of GSC block matrix.

**W** is the filter-and-sum coefficients.

 ${\bf d}$  is the steering vector.  $d_1$  is the first (reference) element of  ${\bf d}$  .

#### Abbrev.

GSC	Generalized Sidelobe Canceller		
MVDR	Minimum Variance Distortionless Response		
MVDR-RTF	MVDR with Relative Transform Function		
PMWF	Parameterized Multi-channel Wiener Filter		
WDAS	Weighted Delay And Sum filter		

## Notations for Table 3

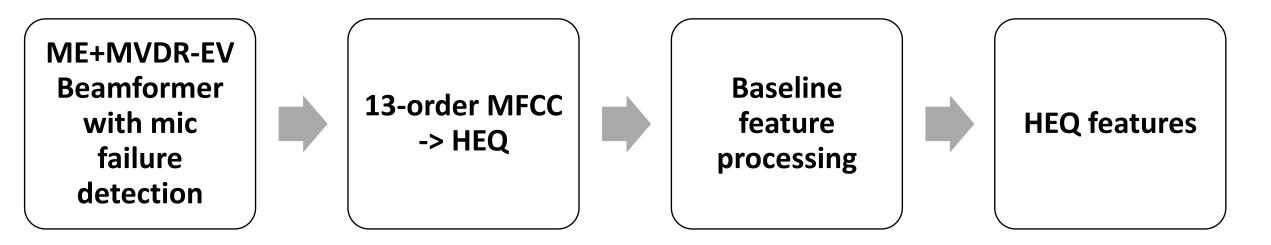
	WDAS	BeamformIt in the baseline
	MVDR	The one released at CHiME-3
	GSC	Standard one with fixed beamformer and fixed block matrix
5	GSC+WDAS	Use WDAS to replace the beamformer block of GSC
	MVDR-RTF	MVDR with relative transform function
	MVDR-EV	Obtain the steering vector from the principal eigenvector of the estimated spatial correlation matrix of clean signal.
	ME	Complex GMM based spectral mask estimation (ME), directly to separate the source ("ME+direct") or to estimate the spatial corelation matrices (the last three rows)

#### Beamforming

Table 3: Average WER (%) of different beamformers with the baseline back-end (without RNN).

Track	System	Dev		Test	
Track	System	real	simu	real	simu
	WDAS	8.19	9.40	15.59	15.61
	MVDR	14.31	5.97	25.89	6.99
	GSC	10.99	15.77	19.79	24.17
	GSC+WDAS	9.46	11.73	16.61	19.00
6ch	PMWF	10.82	9.90	19.58	14.18
	ME+direct	8.75	6.98	15.05	7.74
	ME+PMWF	8.87	6.51	15.52	7.33
	ME+MVDR-EV	8.04	6.07	13.59	7.32
	ME+MVDR-RTF	11.07	6.90	18.99	8.53

#### Histogram equalization (HEQ)



#### WER comparison after using HEQ. Back-end is DNN (sMBR) and KN5+RNN LM.

Track	System	Dev		Test	
		real	simu	real	simu
	ME+MVDR-EV	5.16	4.70	8.21	5.79
6ch	Single HEQ	4.97	4.75	7.27	6.47
	Stack HEQ	4.64	4.22	7.15	5.51

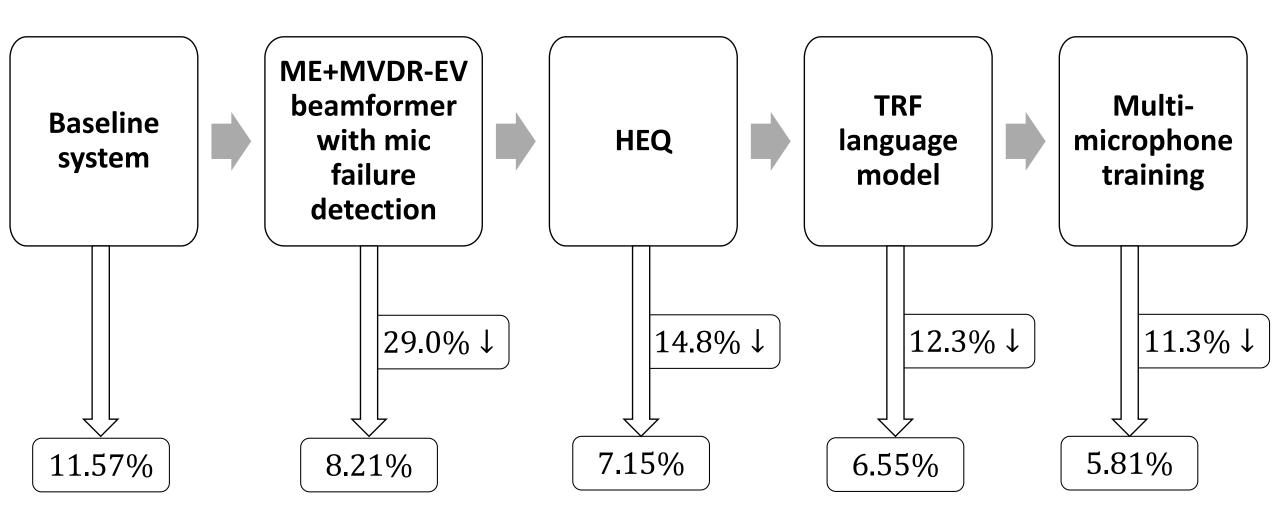
#### Trans-dimensional Random Field (TRF) LMs

$$p(l, x^l; \lambda) = \pi_l \cdot \frac{1}{Z_l(\lambda)} \cdot \exp[\lambda^T f(x^l)], \qquad l = 1, \dots, m$$

Track	System	De	ev	Test		
		real	simu	real	simu	
	KN5	5.57	5.11	8.25	6.42	
	RNN	5.24	4.82	7.92	5.91	
6 ch	TRF	5.09	4.56	7.92	6.04	
6ch	KN5+RNN	4.64	4.22	7.15	5.51	
	TRF+RNN	4.48	4.06	6.96	5.26	
	TRF+LSTM	4.58	3.78	6.55	4.95	

- B. Wang, Z. Ou, and Z. Tan, "Trans-dimensional random fields for language modeling," in ACL, 2015.
- B. Wang, Z. Ou, Y. He, and A. Kawamura, "Improving and scaling trans-dimensional random field language models," arXiv 2016.

#### Summary



WERs on the real data evaluation test set